

June 10, 2011

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, NH 03301-2429



RE: American Utility Management, Inc.'s Initial Registration for Electric Aggregator

Sir/Madam:

Founded in 1994, American Utility Management (AUM) is the premier Energy Services and Utility Management partner to the multifamily industry. Through energy management, bill processing, and resident utility billing, the company provides invaluable expertise to its partners by finding lost revenue, identifying areas for cost savings, and providing technology and innovation in managing utility and energy expenses.

As AUM continues to broaden its services in additional states, it must be vigilant with staying in compliance with state and local rules and regulations. Therefore, AUM has attached the original registration application, two copies and the initial registration fee for your consideration.

If additional information is required for AUM to become licensed as an electric aggregator in New Hampshire, please let me know and it will be provided.

Sincerely,

Michele Smurzynski

AUM Paralegal

2211 York Road | Suite 320 | Oak Brook, Illinois 60523 | P630 218 1400 | F630 218 1401 | www.aum-inc.com



FORM FOR INITIAL REGISTRATION OF AGGREGATOR

- 1. The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state: American Utility Management, Inc. a/k/a AUM
- 2. The applicant's business address, telephone number, e-mail address and website address, as applicable: 2211 York Road, Suite 320 Oak Brook, IL 60523; 630-218-1400; <u>ipeterson@aum-inc.com</u> or <u>msmurzynski@aum-inc.com</u>; aum-inc.com
- 3. The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual: Michael Miller, President, mmiller@auminc.com; Robert Malpasuto, CFO, malpo@aum-inc.com; Daniel Witte, Executive VP, dwitte@aum-inc.com; David Carpenter, Executive VP, dcarpenter@aum-inc.com; Jeff Peterson, Secretary, jpeterson@aum-inc.com; 2211 York Road, Suite 320 Oak Brook, IL 60523; 630-218-1400
- 4. The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available: Dimitris Kapsis, VP Energy Management Solutions, 630-218-1400 ex1445, dkapsis@aum-inc.com
- 5. A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual: **See Attached**
- 6. Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above: AUM would like to have the potential to become an aggregator through-out the state and not be limited to a particular geographic area
- 7. A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent: AUM does not intend to represent any supplier interests
- 8. Payment of the required filing fee: See Attached
- 9. The signature of the applicant or its representative:

Representative: Jeff Peterson – Secretary

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State of New Hampshire Department of State

CERTIFICATE OF AUTHORITY OF

AMERICAN UTILITY MANAGEMENT, INC.

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that an Application of AMERICAN UTILITY MANAGEMENT, INC. for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to AMERICAN UTILITY MANAGEMENT, INC. to transact business in this State under the name of AMERICAN UTILITY MANAGEMENT, INC. and attaches hereto a copy of the Application for such Certificate.

Business ID#: 643216

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IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 7th day of February, 2011 A.D.

William M. Gardner Secretary of State

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